Negative group velocity and three-wave mixing in dielectric crystals

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Extraordinary features of optical parametric amplification of Stokes electromagnetic waves are investigated, which originate from the three-wave mixing of two ordinary electromagnetic and one backward phonon wave with negative group velocity. A similarity with the counterpart in the negative-index plasmonic metamaterials and differences with those utilizing contra-propagating ordinary electromagnetic waves as well as electromagnetic and acoustic phonon waves are shown. They stem from the backwardness of the optical phonons in crystals with negative dispersion. Nonlinear-optical photonic devices with the properties similar to those predicted for negative-index metamaterials are proposed. © 2013 Optical Society of America

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1. Introduction

Optical negative-index (NI) metamaterials (NIMs) form a novel class of electromagnetic media that promises revolutionary breakthroughs in photonics [1]. The possibilities of such breakthroughs originate from backwardness, the extraordinary property that electromagnetic waves acquire in NIMs. Unlike ordinary positive-index materials, the energy flow, **S**, and the wave-vector, **k**, become counter-directed in NIMs, which determines their extraordinary linear and nonlinear optical (NLO) propagation properties. Usually, NIMs are nanostructured metal-insulator composites with a special design of their building blocks at the nanoscale [2,3]. Generally, the metal component imposes strong absorption of optical radiation in NIMs, which presents a major obstacle towards their numerous exciting prospective applications. Extraordinary features of coherent NLO energy conversion processes in NIMs that stem

from wave-mixing of ordinary and backward electromagnetic waves and the possibilities to apply them for compensating the outlined losses have been shown in [4, 5]. Essentially different properties of three-wave mixing (TWM) and second harmonic generation have been revealed in [6,7]. Herein, we propose and investigate a different scheme of TWM of ordinary and backward waves (BW). It builds on the stimulated Raman scattering (SRS) where two ordinary electromagnetic waves excite backward elastic vibrational wave in a crystal, which results in TWM. The possibility of such BWs was predicted by L. I. Mandelstam in 1945 [8], who also had pointed out that negative refraction is a general property of the BWs. This letter is to show the possibility to replace negative index composites with the extensively studied ordinary crystals and thus to simulate unparallel properties of coherent NLO energy exchange between the ordinary and backward waves. We show extraordinary nonlinear propagation and output properties of the Stokes electromagnetic wave in one of two different coupling geometries, both utilizing backward elastic waves. The possibility to localize coherent energy conversion and to fit it in to the crystal of given thickness is shown. Such unusual properties are in a striking contrast with those attributed to the counterparts in the standard schemes that build on the coupling of co-propagating photons and phonons [9, 10]. They are also different from the properties of the phase-matched mixing of optical and acoustic waves for the case when the latter has energy flux and wave vector directed against those of one of the optical waves [11]. The revealed properties can be utilized for creation of optical switches, filters, amplifiers and cavity-free optical parametric oscillators based on ordinary Raman crystals without the requirement of periodically poling at the nanoscale [12] (and references therein).

2. Optical phonons, negative group velocity and phase matching options

The dispersion curve $\omega(k)$ of phonons in the crystals containing more than one atom per unit cell has two branches: acoustic and optical. For the optical branch, the dispersion is negative, in the range from zero to the boundary of the first Brillouin's zone (Fig. 1) and the group velocity of optical phonons, \mathbf{v}_v^{gr} , is antiparallel with respect to its wave-vector, \mathbf{k}_v^{ph} , and phase velocity, \mathbf{v}_v^{ph} , because $v_{gr} = \partial \omega(k)/\partial k < 0$. Optical vibrations can be excited by the light waves due to the two-photon (Raman) scattering. The latter gives the ground to consider crystal as the analog of the medium with negative refractive index at the phonon frequency and to examine the processes of parametric interaction of the three waves, two of which are ordinary electromagnetic waves and the third is the wave of elastic vibrations with the directions of the energy flow and of the wave-vector opposite to each other.

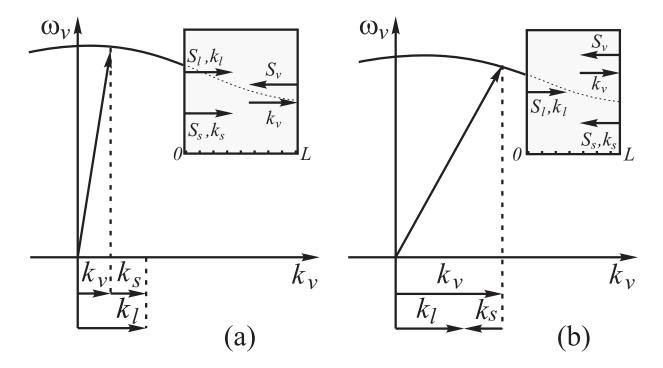


Fig. 1. Negative dispersion of optical phonons and two phase matching options: (a) – co-propagating, (b) – contra-propagating fundamental, control, and Stokes, signal, waves. Insets: relative directions of the energy flows and the wave-vectors.

3. Basic equations

We will examine only lowest-order Raman process [9, 10]. The interacting waves are given by the equations

$$E_{l,s} = (1/2)\varepsilon_{l,s}(z,t)e^{ik_{l,s}z-i\omega_{l,s}t} + c.c.,$$

$$Q_v = (1/2)Q(z,t)e^{ik_vz-i\omega_vt} + c.c.$$

Here, $\varepsilon_{l,s}$, Q, $\omega_{l,s,v}$ and $k_{l,s,v}$ are the amplitudes, frequencies and wave-vectors of the fundamental, Stokes and vibrational waves; $Q_v(z,t) = \sqrt{\rho}x(z,t)$; x is displacement of the vibrating particles, ρ is the medium density. With account for the energy and momentum conservation,

$$\omega_l = \omega_s + \omega_v (k_v), \quad \vec{k}_l = \vec{k}_s (\omega_s) + \vec{k}_v,$$

one obtains the following equations for the slowly varying amplitudes in the approximation of the of first order of Q in the polarization expansion:

$$\frac{\partial \varepsilon_{l}}{\partial z} + \frac{1}{v_{l}^{gr}} \frac{\partial \varepsilon_{l}}{\partial t} = i \frac{\pi \omega_{l}^{2}}{k_{l} c^{2}} N \frac{\partial \alpha}{\partial Q} \varepsilon_{s} Q,
\frac{\partial \varepsilon_{s}}{\partial z} + \frac{1}{v_{s}^{gr}} \frac{\partial \varepsilon_{s}}{\partial t} = i \frac{\pi \omega_{s}^{2}}{k_{s} c^{2}} N \frac{\partial \alpha}{\partial Q} \varepsilon_{l} Q^{*},
\frac{\partial Q}{\partial z} + \frac{1}{v_{v}^{gr}} \frac{\partial Q}{\partial t} + \frac{Q}{\tau v_{v}^{gr}} = i \frac{1}{4\omega_{v} v_{v}^{gr}} N \frac{\partial \alpha}{\partial Q} \varepsilon_{l} \varepsilon_{s}^{*}.$$
(1)

Here, $v_{l,s,v}^{gr}$ are the projections of the group velocities of the fundamental, Stokes and vibration waves on the z-axis, N is the number density of the vibrating molecules, α is the molecule polarizability, τ is phonon lifetime, ω_0 is phonon frequency for $k_v = 0$. The dispersion $\omega_v(k_v)$ can be approximated as [9]

$$\omega_v = \sqrt{\omega_0^2 - \beta k_v^2}.$$

Then, in the vicinity of $k_v = 0$, velocity v_v^{gr} is given by:

$$v_v^{gr} = -\beta k_v/\omega_v = -\beta/v_v^{ph}$$

where v_v^{ph} is the projection of the phase velocity of the vibrational wave on the z-axis and β is the dispersion parameter for the given crystal.

For the sake of clarity, the continuous wave case and the approximation of the constant field E_l is considered. The latter is appropriate for the relatively week Stokes and vibrational waves. Then Eqs. (1)-(2) take the form:

$$dQ/dz = -ig_1 \varepsilon_s^* - Q/(\tau v_v^{gr}), d\varepsilon_s/dz = ig_2 Q^*,$$

where $g_1 = -N(\partial \alpha/\partial Q)\varepsilon_l/(4\omega_v v_v^{gr})$ and $g_2 = (\pi\omega_s^2/k_sc^2)N(\partial \alpha/\partial Q)\varepsilon_l$. In the case of Fig. 1(a), Eqs. (3) exhibit three fundamental differences as compared with TWM of copropagating waves in ordinary materials: an opposite sign with g_1 which stems from $v_v^{gr} < 0$, an opposite sign with $Q/(\tau v_v^{gr})$ because the phonon flow is against the z-axis, and the boundary conditions for Q to be defined at z = L, i.e. at the opposite edge of the slab as compared to that for ε_s . This leads to fundamental changes in their solutions and, consequently, in the spatial and output behavior of the Stokes signal. Alternatively, in the given constant ε_l approximation, the equations become identical and the behavior standard for the case of Fig. 1(b).

4. Extraordinary properties of coherent energy conversion from fundamental to Stokes electromagnetic waves

The solution to Eqs. (3) is found in the form:

$$Q^* = A_1 e^{\beta_1 z'} + A_2 e^{\beta_2 z'}, \varepsilon_s = A_3 e^{\beta_1 z'} + A_4 e^{\beta_2 z'}, \tag{3}$$

where $\beta_{1,2} = 1 \mp iR$, $R = \sqrt{g_1^* g_2 l_p^2 - 1}$, $z' = z/l_p$, $l_p = -2v_v^{gr} \tau$. The amplitudes A_{1-4} and their relationships are determined by the boundary conditions. Transmission factors for co-propagating, $T_s^{\uparrow\uparrow}(z)$, and counter-propagating $(g_2 < 0)$, $T_s^{\uparrow\downarrow}(z)$, fundamental and Stokes waves are found as

$$T_s^{\uparrow\uparrow} = \left| \frac{e^{z'} \left\{ R \cos \left[R \left(L' - z' \right) \right] + \sin \left[R \left(L' - z' \right) \right] \right\}}{R \cos \left(R L' \right) + \sin \left(R L' \right)} \right|^2, \tag{4}$$

$$T_s^{\uparrow\downarrow} = \left| \left\{ \beta_1 e^{\left[\beta_2 (L' - z')\right]} - \beta_2 e^{\left[\beta_1 (L' - z')\right]} \right\} / 2R \right|^2, \tag{5}$$

where $L' = L/l_p$, $T_s^{\uparrow\uparrow} = |\varepsilon_s(z)/\varepsilon_s(z=0)|^2$ and $T_s^{\uparrow\downarrow} = |\varepsilon_s(z)/\varepsilon_s(z=L)|^2$. Equations (4) and (5) display spatial distributions which are *controlled* by the field ε_l and are in a strict contrast to each other. It is explicitly seen for the ultimate loss-free case $(l_p \to \infty)$. Then

$$T_s^{\uparrow\uparrow}(z=L) \rightarrow 1/\cos^2(gL),$$
 (6)

$$T_s^{\uparrow\downarrow}(z=0) \rightarrow [\exp(2|g|L)]/4,$$
 (7)

where $g = \sqrt{g_1^* g_2}$. Equation (6) depicts a series of sharp giant resonance enhancements of the output signal for $g \to (2j+1)\pi/2L$, (j=0,1,2...). On the contrary, the coupling scheme of Fig. 1(b) is equivalent to scattering on acoustic phonons and on optical phonons with positive group velocity. Correspondingly, Eq. (7) displays typical exponential growth with no resonances with respect to intensity of the fundamental control field. In general case, the denominator in Eq. (4) can be turned to zero if $g^2 l_p^2 > 1$. The threshold value of intensity of the control field is

$$I_{\min} = \left(c n_s \lambda_{s0} \omega_v / 8\pi^3 l_p \tau \right) \left| N \partial \alpha / \partial Q \right|^{-2}, \tag{8}$$

where λ_{s0} is Stokes wavelength in the vacuum. For a given intensity of the control field $I_l > I_{min}$, the crystal thickness corresponding to the first resonance is

$$L' = \left[\pi - \tan^{-1}(R)\right]/R.$$

Figure 2(a) depicts transmission in the vicinity of the first resonance and Fig. 2(b) – its position. In the resonance, $T_s^{\uparrow\uparrow} \to \infty$, which is due to the approximation of constant control field. Conversion of the control field to the Stokes one and excited molecule vibrations would lead to saturation of the control field and thus limits the maximum achievable amplification. Strong amplification in the maximums indicates the possibility of self-oscillations and thus creation of mirrorless optical parametrical oscillator with unparalleled properties.

In the case of Fig. 1(b), $k_s < 0$ and the denominator in Eq. (5) cannot equal to zero. As outlined, this results in exponential spatial dependence with no resonances depicted in Fig. 2(c). Figure 2(d) shows that, in the vicinity of the resonance, three-wave coupling of waves with co-directed wave vectors and contra-directed energy flows of vibrational and

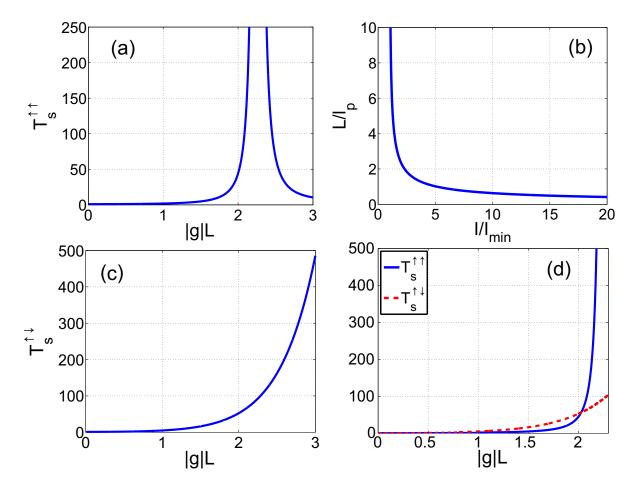


Fig. 2. (a) Transmission of the Stokes wave $T_s^{\uparrow\uparrow}(z=L)$ vs intensity of the fundamental control field in the vicinity of the first resonance (co-propagating geometry). (b) The crystal thickness corresponding to the first output resonance for the Stokes wave vs intensity of the control field for the co-propagating geometry; I_{\min} is the threshold intensity. (c) Transmission $T_s^{\uparrow\downarrow}(z=0)$ vs intensity of the fundamental beam (contra-propagating geometry). (d) Comparison of the output intensities of the Stokes wave vs intensity of the control field for co- and contra-propagating coupling geometries.

Stokes waves provides for essentially higher efficiency of coherent energy conversion than in the standard schemes. Figures 2(b, d) indicate the possibility to *fit in* the effective conversion length within the crystal of a given thickness and to significantly *concentrate* the generated Stokes field nearby its output facet.

Estimations made for the model, which is characteristic for the diamond crystal, $\omega_v = 1332$ cm⁻¹ and vibrational transition width $(c\tau)^{-1} = 1.56$ cm⁻¹ [13–15], show that the required excitation intensities are above the typical crystal breakdown threshold in the continuous wave regime. However, the cross-section of the Raman scattering is inverse proportional to the squared frequency offset from the intermediate single-photon resonance. Therefore, the threshold intensity I_{min} given by Eq. (8) can be reduced by seven to eight orders compared to its off-resonance values by approaching such a resonance. The required intensity is also achievable with the commercially available pulsed lasers, especially for the pulse length shorter than the phonon relaxation rate.

5. Conclusions

To conclude, we propose extraordinary coherent energy conversion process attributed to three-wave mixing of ordinary and backward waves. It rests on the excitation of optical phonons with negative dispersion and can be implemented in the routinely fabricated crystals extensively studied by the means of Raman spectroscopy. The investigated features can be employed for creation of a family of the unique nonlinear-optical photonic devices, such as frequency-tunable switches, filters, amplifiers and miniature mirrorless optical parametric oscillators. Earlier, such a possibility was proposed based on negative index metamaterials which are much more difficult to fabricate and are inherently strongly lossy. The revealed features stem fundamentally from the opposite directions of the phase velocity and the energy flow, which is the characteristic property of optical phonons having negative dispersion, $\partial \omega(k)/\partial k < 0$.

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